

# Applied Physics 195 / Physics 195 — Assignment #1

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Due: **12:45pm + 10 min grace period**, September 18th, 2015; slide your work under through the door at Maxwell-Dworkin Room 131.

## Problem 1 (50 pt): Tight binding calculation with $N = 2$ solid

We revisit the tight-binding calculation of the 2-atom system—hydrogen molecule ion with covalent one-electron bond—of Lecture #1, given the importance of the method which we will later extend to  $N$ -atom solids. Protons 1 and 2 are separated by distance  $d$ . Let  $|1\rangle$  be the ground state of an electron with energy  $\epsilon_0$  due to its Coulomb interaction with Proton 1 alone (potential energy:  $V_1$ ).  $|2\rangle$  is the electron ground state with energy  $\epsilon_0$  due to interaction with Proton 2 alone (potential energy  $V_2$ ).  $|1\rangle$  and  $|2\rangle$  then satisfy:

$$[p^2/(2m) + V_1] |1\rangle = \epsilon_0 |1\rangle; \quad (1)$$

$$[p^2/(2m) + V_2] |2\rangle = \epsilon_0 |2\rangle. \quad (2)$$

The energy eigenstates  $|\psi\rangle$  and eigenvalues  $E$  of the electron with both protons then satisfy

$$H|\psi\rangle = E|\psi\rangle, \quad (3)$$

where  $H = p^2/(2m) + V_1 + V_2$ . In 2-state approximation,  $|1\rangle$  and  $|2\rangle$  serve as approximate orthonormal basis.

(a) Express  $H$  as a matrix in the basis of  $|1\rangle$  and  $|2\rangle$ . You may use  $\delta \equiv -\langle 1|V_1|2\rangle$  (can you argue  $\delta$  is positive?)

(b) Find the lower and higher eigen energies,  $E = E_L$  and  $E = E_H$ , and corresponding eigenstates  $|\psi\rangle = |\psi_L\rangle$  and  $|\psi\rangle = |\psi_H\rangle$  as linear combinations of  $|1\rangle$  and  $|2\rangle$ . How do  $E_L$  and  $E_H$  compare in magnitude to  $\epsilon_0$ ? From  $|\psi_L\rangle$ , can you see electron delocalization? As  $d$  decreases, how does  $E_L$  behave? Do you expect attraction or repulsion?

(c) To understand the role of the non-vanishing off-diagonal elements of  $H$  (which are related to  $\delta$ ), describe, quantitatively, the time evolution of the electron state, which is prepared to be  $|2\rangle$  at  $t = 0$ .

## Problem 2 (50 pt): Van der Waals attraction

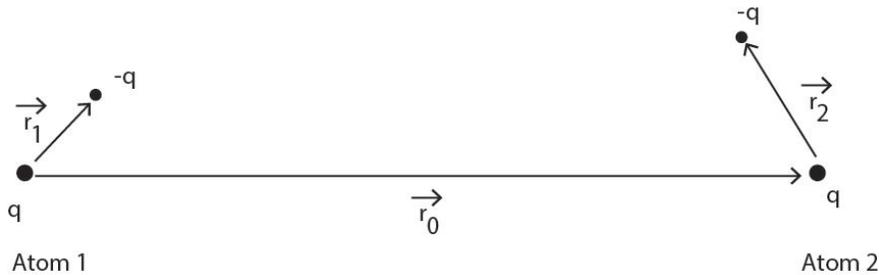


Figure 1:

Consider two model atoms shown in Fig. 1. They are substantially separated:  $r_0 \gg r_1, r_2$  ( $r_0 \equiv |\vec{r}_0|$ ,  $r_1 \equiv |\vec{r}_1|$ ,  $r_2 \equiv |\vec{r}_2|$ ). Let  $H_1$  be the Hamiltonian of the mobile negative charge  $-q$  in Atom 1 alone:

$$H_1 = \frac{p_1^2}{2m} - \frac{q^2}{4\pi\epsilon_0} \frac{1}{r_1}. \quad (4)$$

Similarly,  $H_2$  is the Hamiltonian of the mobile negative charge  $-q$  in Atom 2 alone:

$$H_2 = \frac{p_2^2}{2m} - \frac{q^2}{4\pi\epsilon_0} \frac{1}{r_2}. \quad (5)$$

The system Hamiltonian is then  $H = H_1 + H_2 + H_d$  where

$$H_d \equiv \frac{q^2}{4\pi\epsilon_0} \left[ \frac{1}{r_0} - \frac{1}{|\vec{r}_0 - \vec{r}_1|} - \frac{1}{|\vec{r}_0 + \vec{r}_2|} + \frac{1}{|\vec{r}_0 - \vec{r}_1 + \vec{r}_2|} \right] \quad (6)$$

is due to the dipolar interaction between the two atoms, and acts as a weak perturbation. Given the substantial separation of the two atoms, assume zero overlap between wave functions of Atom 1 and Atom 2.

(a) Show that expansion of  $H_d$  up to the leading non-vanishing orders of  $r_1/r_0$  and  $r_2/r_0$  yields

$$H_d \approx \frac{q^2}{4\pi\epsilon_0} \frac{\vec{r}_1 \cdot \vec{r}_2 - 3(\vec{r}_1 \cdot \hat{r}_0)(\vec{r}_2 \cdot \hat{r}_0)}{r_0^3} \quad (7)$$

where  $\hat{r}_0$  is the unit vector in the direction of  $\vec{r}_0$ .

(b) Prove that the first-order correction to the ground state energy of  $H_1 + H_2$  due to  $H_d$  vanishes.

(c) Show that the second-order correction to the ground state energy of  $H_1 + H_2$  due to  $H_d$  is proportional to  $r_0^{-6}$  and is negative. This gives the van der Waals attraction.

### Problem 3 (50pt): Free electron Fermi gas: 3D

(a) Estimate  $v_F$ ,  $\epsilon_F$ , and  $T_F$  for sodium, copper, gold, silver, and aluminum.

(b) By directly integrating individual electron kinetic energies, show that the total energy of the Fermi gas of  $N$  free electrons at  $T = 0$  K is given by  $U = (3/5)N\epsilon_F$ . Show then that the pressure exerted by the electron gas at  $T = 0$  K is given by  $P = 2U/3V$  where  $V$  is the volume of the Fermi gas. Calculate the total energy  $U$  of the Fermi gas of  $N$  free electrons at non-zero temperature  $T$  up to the lowest non-vanishing order of  $k_B T$ .

### Problem 4 (50pt): Free electron Fermi gas: 2D

Consider a Fermi gas of  $N$  free electrons in area  $A$  in two dimensions. Calculate the density of states  $D(\epsilon)$ . Relate  $n_0$  (electron density per unit area) with  $k_F$  and with  $\epsilon_F$ . Calculate the total energy  $U$  at  $T = 0$  K by direct integration. Show exactly that the chemical potential  $\mu$  at temperature  $T$  is related to  $\epsilon_F$  by

$$\mu = k_B T \ln \left[ \exp \left( \frac{\epsilon_F}{k_B T} \right) - 1 \right]. \quad (8)$$

### Problem 5 (50pt): White dwarf as a relativistic Fermi gas

Material contents of white dwarf stars are mostly helium. Since  $T \sim 10^7$  K, the helium atoms are completely ionized. Then a white dwarf may be modeled as a Fermi gas consisting of  $N$  electrons—where the number of helium atoms is  $N/2$ —in a spherical volume  $V$ . Since  $T_F \gg T$  (specifically,  $T_F \sim 10^{11}$  K and  $T \sim 10^7$  K), treatment of the Fermi gas with  $T \sim 10^7$  K  $\approx 0$  K will work well. In equilibrium, the outward Pauli pressure is balanced by the inward gravitational pull, where the gravitational pull is due to the star mass  $M$ , which is dominated by the helium nuclei: concretely,  $M = Nm_e + (N/2) \times 4m_p \approx 2Nm_p$ . Here  $m_e$  is electron mass and  $m_p$  is proton mass. Since the white dwarf is immensely dense ( $10^{30}$  electrons per  $\text{cm}^3$ ), the Fermi momentum  $p_F = \hbar k_F$  is high and we should treat the Fermi gas relativistically.

(a) The energy of an individual electron with a momentum  $\vec{p} = \hbar\vec{k}$  is given by

$$\epsilon = [(pc)^2 + (m_e c^2)^2]^{1/2} = [(\hbar ck)^2 + (m_e c^2)^2]^{1/2} \quad (9)$$

where  $k \equiv |\vec{k}|$  and  $p \equiv |\vec{p}|$ . The total energy  $U$  at  $T \sim 10^7$  K  $\approx 0$  K (note once again that  $T_F \gg T$ ) is then given by

$$U = 2 \times \frac{V}{(2\pi)^3} \int_0^{k_F} \epsilon(k) d^3\vec{k} \quad (10)$$

while  $k_F$  is connected to  $N$  via

$$N = 2 \times \frac{V}{(2\pi)^3} \int_0^{k_F} d^3\vec{k}. \quad (11)$$

We define a unit-less quantity  $a_F$  as

$$a_F \equiv \frac{\hbar k_F}{m_e c} \quad (12)$$

and we consider the strongly relativistic case of  $a_F \gg 1$ . Show that with  $a_F \gg 1$ ,  $U$  of Eq. (10) can be approximated as

$$U \approx \frac{V m_e^4 c^5}{4\pi^2 \hbar^3} (a_F^4 + a_F^2). \quad (13)$$

(b) Show that the Pauli pressure  $P = -\partial U / \partial V$  is then given by

$$P \approx \frac{m_e^4 c^5}{12\pi^2 \hbar^3} (a_F^4 - a_F^2). \quad (14)$$

(c) Let  $R$  be the radius of the white dwarf. The balance between the Pauli pressure  $P$  and the gravitational pull may be written out as

$$4\pi R^2 P = \alpha \frac{GM^2}{R^2} \quad (15)$$

The exact gravitational pull depends on the detailed inner stellar structure (*e.g.*, atomic density distribution). Instead of calculating it exactly (and while it is doable), we have smoothed it over with the undetermined constant  $\alpha$  on the right hand side above, where  $\alpha$  is on the order of unity. By plugging Eq. (14) into Eq. (15), the  $R$  vs.  $M$  relation is obtained. Show that  $R$  decreases with increasing  $M$  (*i.e.*, if the star is more massive, the gravitational pull becomes stronger, and the star settles in equilibrium with a smaller radius). Furthermore, show that  $R \rightarrow 0$  for  $M \rightarrow M_0$  where

$$M_0 = \alpha^{-3/2} \times \frac{9}{64} (3\pi)^{1/2} \times \left(\frac{\hbar c}{G}\right)^{3/2} \times \frac{1}{m_p^2}, \quad (16)$$

and  $R$  becomes imaginary for  $M$  in excess of  $M_0$ . That is, the white dwarf cannot have a mass larger than  $M_0$ ; if  $M$  is larger than  $M_0$ , the Pauli pressure is not strong enough to support the gas up against the gravitational collapse.

(d) Perform numerical calculation for Eq. (16) to show that  $M_0$  is comparable to the solar mass (note that  $\alpha$  is on the order of unity). I remark that more detailed analysis with determination of  $\alpha$  leads to

$$M_0 \approx 1.4 \times \text{solar mass}. \quad (17)$$

This is the celebrated Chandrasekhar limit, and has been upheld by astronomical observations.